

Zug, June 16th, 2020

Reminder – STOXX Index files enhancement – New file format of STOXX reports – Third Party data licensing as of September 21st, 2020

Dear Sir and Madam,

STOXX Ltd., the operator of Qontigo's index business and a global provider of innovative and tradable index concepts, today announced its roadmap to enhance its STOXX Index files format in order to comply with any Third-Party Data licenses as of September 21st, 2020.

In today's technology-rich environment, companies increasingly recognize the value of data as a business asset that should be protected and can be exploited through licensing to third parties. Because data may be protected by one or more IP rights, **a third party's use of data requires a license from the data owner** or a sublicense from a party licensed by the owner to grant sublicenses to the data.

As a result, STOXX Ltd., will proceed to the modification of its STOXX Index Files and its permissioning access to allow its clients to receive Third Party Data (for example SEDOL, RIC Code, BBG Code, ICB code etc..) which might be subject to a license subscription.

STOXX Index Files enhancement – Timeline of the changes

STOXX Ltd., will enhance its STOXX Index files format as described hereafter

- As of **August 31st, 2020**, STOXX will only provide its **STOXX Index Review files per Index Master Symbol in .csv format**. The Selection Lists files remain in Microsoft Excel binary proprietary format (.xls format) and unchanged. The existing STOXX Index Review files in .xls format will not be maintained after August 31st, 2020.
- As of **September 21st, 2020**, **STOXX Equity Index files** will be enhanced to reflect the permissioning of **Third-Party Data according to licenses** subscribed by clients and confirmed by Third-Party Data providers.

Further information and timeline details are available in separate communication related to STOXX Equity Index files enhancement - STOXX Review files per index and STOXX Equity Index files enhancement - daily report format for Equity Indices to comply with Third Party licensing.

STOXX Index Files enhancement – Overview of the changes

For the convenience of its Clients, STOXX Ltd., will provide on regular basis a **separate and detailed Technical Information** for STOXX Index Review files enhancement, STOXX Equity Index files enhancement, and the new ICB code classification. The Technical Information is available on www.stoxx.com in the section News > Announcements > System&IT

STOXX implemented a STOXX Files Guide which provides a description of each file generated by STOXX. The Guide is available on www.stoxx.com > [Technical Documentation](#).

- STOXX Index Review files will be provided in .csv format only and per Master Index Symbol
- STOXX Equity Index files will be provided in .csv format only and per Master Index Symbol. The new composition files will contain only the internal STOXX identifier (Internal_Key) and the ISIN for any constituent of the index. A separated file named “Components_PXXX_xxxx.csv” will be generated per Master Index Symbol, containing reference data such as Internal_Key, SEDOL, RIC Code, BBG Code, Currency, ICB codes and close prices in multiple currencies will allow the mapping to the index composition files.

Third-Party Data Permissioning / Identified Third-Party Data requesting a License

The clients who will not provide the signed Third-Party Data license will have access to the Components_PXXX_xxxx.csv files for which Third-Party data are not licensed (please refer to the table available in the next section of this document). Only clients who will have a valid Third-Party Data License (subject to verification by Third-Party provider and confirmed to STOXX) will be granted access to the data.

As of September 21st, 2020, the following Third-Party data permissioning will be implemented by STOXX:

The client shall contact the Third-Party Data owner (London Stock Exchange contact details: sedol@lseg.com / +44 (0)207 797 3009) to obtain a signed license related to SEDOL code in order to be entitled to the Components_P000_xxxx.csv, as described in the table below.

File name	Description of data	Permissioning
components_P000_xxxx.csv	The file includes all reference data	Accessible to Clients with a valid SEDOL license
components_P001_xxxx.csv	The file includes reference data except for SEDOL code	Accessible to Clients with no SEDOL license

STOXX contact details: customersupport@stoxx.com

For more information on the STOXX indices, please visit www.stoxx.com